



WESTERN UNITED LIFE ASSURANCE COMPANY
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New or Existing Business

**SUBSTITUTE
 W4P AND W9**

PLEASE TYPE OR PRINT USING BLACK OR BLUE BALLPOINT PEN ONLY

REQUEST FOR TAXPAYER IDENTIFICATION NUMBER AND ELECTION FOR WITHHOLDING

Print Contract Owner Name *(First, Middle, Last)*

Address

City, State, and ZIP Code

Contract Number(s)

ELECTION FOR WITHHOLDING *(Substitute W4P Form)*

Federal and some State laws make payments subject to withholding. The law requires that you be told three things:

1. You do not have to have any money withheld from your periodic payments.
2. After you have made a choice you can change it at any time by writing to us. Please allow 30 days for the change.
3. Even if you elect not to have income tax withheld, you are liable for payment of income tax on the taxable portion of your distribution. You also may be subject to tax penalties under the estimated tax payment rules if your payments of estimated tax and withholding, if any, are not adequate.

CHECK FEDERAL AND/OR STATE *(if applicable)*

If Not Checked and the Payment Amount is Sufficient, We are Required to Withhold Income Tax

FEDERAL:

WITHHOLD

- 10% of taxable portion
- 20% of taxable portion *(20% is generally required on all qualified funds except IRA's)*
- Other *(specify)* _____

DO NOT WITHHOLD

federal income tax from my distribution

STATE:

(AZ, ID, ND, NE, MT, OK, OR, and UT residents only)

WITHHOLD

- % of taxable portion *(specify)* _____
- \$ of taxable portion *(specify)* _____

DO NOT WITHHOLD

state income tax from my distribution

We are Required to Withhold if Your Resident Address is Outside of the United States

SUBSTITUTE W-9 VERIFICATION (Box must be marked to qualify as a substitute W-9)

Please consider this my substitute W-9. If you fail to furnish your correct TIN (taxpayer identification number), you may be subject to a \$50 penalty imposed by the Internal Revenue Service. In addition, in the event of such failure, 31% of your taxable distribution will be withheld and sent to the IRS.

Please enter owner SSN/TIN: _____

Certification - Under penalties of perjury, I certify by signing below that:

- 1) The number shown above is my correct taxpayer identification number;
- 2) I am not subject to backup withholding because:
 - a) I am exempt from backup withholding, or
 - b) I have not been notified by the IRS that I am subject to backup withholding as a result of failure to report all interest or dividends, or
 - c) the IRS has notified me that I am no longer subject to backup withholding, and
- 3) I am a U.S. person (including a U.S. resident alien).

Certification Instructions - You must cross out item 2) above if you have been notified by the IRS that you are currently subject to backup withholding because you've failed to report all interest and dividends on your tax return.

SIGNATURES BLOCK - I certify, under penalties of perjury, that the information reported herein is correct.

 Signature of Contract Owner

 Date