



ILLINOIS MUTUAL®
Life Insurance Company

300 S.W. Adams Street Peoria, IL 61634
Phone 309.674.8255

CLIENT INFORMATION FORM
ANNUITY PRODUCTS

Your state law requires that any person who is considering the purchase of an annuity contract be given the opportunity to provide information concerning the person's individual financial circumstances to the agent selling the contract. The purpose of this is to determine whether the sale of an annuity contract would be appropriate for the consumer. You may decline to provide this information but in doing so you take full responsibility in determining whether the purchase of an annuity contract is appropriate for you.

Client's Name _____ Age _____ Amount of Annuity Contract Proposed \$_____

PART 1

- 1. Are you currently employed? Yes No
- 2. Are you currently retired and receiving retirement income? Yes No If you have not retired, what year do you currently plan to retire? Year _____.
- 3. What is your approximate annual income from all sources including social security?
 \$1,000 -20,000 \$20,000-\$50,000 \$50,000-\$100,000 over \$100,000
- 4. What is your estimated Tax Bracket 15% or less 15%-30% over 30%
- 5. Please estimate your net worth, assets less any liabilities: \$_____

Percentage of proposed annuity to estimated net worth _____% (If more than 50%, sale would not meet established guidelines. If client wants annuity upon being so advised, have Part 2 completed below.)
- 6. During the term of the annuity contract, do you expect to need more than the 10% penalty free annual withdrawals?
 Yes No (If "Yes", sale would not meet established guidelines. If client wants annuity upon being so advised, have Part 2 completed below.)

I represent that the answers to the above questions are correct to the best of my knowledge and will be used to evaluate the suitability of any annuity contract that may be proposed to me.

Date_____ Client's Signature_____

PART 2

I have been advised by my agent that the annuity proposed to me is not suitable according to established Company guidelines, but having considered the matter fully, I nevertheless desire to purchase the amount of the annuity proposed to me. I take full responsibility for determining whether the purchase of the proposed annuity is suitable for me.

Date_____ Client's Signature_____

PART 3

I decline to provide the information requested on Part 1 of this form and take full responsibility for determining whether the purchase of an annuity contract is suitable for me.

Date_____ Client's Signature_____

PART 4

AGENT CERTIFICATION: I have presented the proposed annuity to the client shown above and advised him or her of Company guidelines regarding suitability of the sale to a consumer. The client has either provided the information in Part 1 and the proposed sale meets Company guidelines; agreed to purchase the annuity after being advised that the sale does not meet Company guidelines and signed Part 2 or has declined to provide the information in Part 1 and has signed Part 3.

Date_____ Agent's Signature_____