INVESTORS HERITAGE™

Your Future. Our Life's Work.

HERITAGE BUILDER PORTFOLIO

This is a .pdf portfolio. Each form is not necessary for all applications.
Choose and open the forms needed for your client's situation.

To open any form:

- Right click on the form and choose "open file" or
- Click "open document" in top right corner.

SUBMITTING APPLICATIONS IN GOOD ORDER

Submitting applications in good order is a win-win:
Your client's contract issued quickly. Your commission paid quickly.

The following forms are required for all applications:

- Application - *(State appropriate version)* 12-2019
- Best Interest Attestation 12-2020
- Best Interest Producer Disclosure 12-2020
- Certification of Disclosure 04-2022
- W-9 10-2018
- Annuity Suitability Questionnaire 11-2018
- Disclosure - Understanding Your SPDA 04-2022

Additional forms if appropriate to the application:

- Replacement *(State specific)* 02-2019
- Replacement Comparison *(State specific)* 11-2018
- 1035 Exchange/Transfer/Rollover 08-2021
- IRA Indirect Rollover Certification (*Required if IRA Indirect Rollover.)* 08-2018
- Payment Authorization form 08-2018
- Non-Resident *(Non-resident sales not allowed to residents of MA, MN, NY, UT.)* 09-2018
- Trust or Entity Certification 08-2018
- Corporate Owned Annuity Indemnity
- Additional Beneficiary *(Use if have more than 4 beneficiaries. State specific.)* ICC13-IHL-ADDBEN-01

To be left with client:

- Notice of Information Practices 04-2019

Agent Discovery Forms must be submitted to home office for all applications over $1M:

- Annuity Fact Finder
- Mutual Funds Fact Finder
- Life Fact Finder
- Financial Data

A current list of approved forms is available on the Investors Heritage Agent Portal. www.investorsheritage.com